

9 FAM Appendix F, 200 VISA REFUSAL CASES

(TL:VISA-397; 04-17-2002)

9 FAM Appendix F, 201 NIV: VISA REFUSAL CASES

(TL:VISA-397; 04-17-2002)

All NIV refusal cases (both Category One and Category Two) must be maintained indefinitely.

9 FAM Appendix F, 202.1 Safeguarding Category One Cases

(TL:VISA-397; 04-17-2002)

Classified Category One *NIV* refusal files must be stored in combination vaults or safes approved for classified material. Unclassified Category One refusal files must at a minimum be stored in bar-lock cabinets that must be secured at the end of the day.

9 FAM Appendix F, 201.2 Quasi-refusal Cases

(TL:VISA-397; 04-17-2002)

The consular officer may not execute Form OF-194, *The Foreign Service of the United States* in a quasi-refusal case in which Category One grounds of ineligibility appear to exist, since at this stage the alien has not applied formally for a visa, but should have the pertinent data entered in CLASS if the alien is not already listed therein. [See 9 FAM 41.121 PN2.2 and 9 FAM 42.81 PN2.2 .]

9 FAM Appendix F, 201.3 Filing Category Two Refusals

(TL:VISA-397; 04-17-2002)

File indefinitely in the A-Z files.

9 FAM Appendix F, 202 IV/DV REFUSALS

9 FAM Appendix F, 202.1 Safeguarding Category One and IV/DV Refusal Cases

(TL:VISA-397; 04-17-2002)

Category One refusal files must be stored indefinitely in combination vaults or safes approved for classified material. Unclassified Category One refusal files must at a minimum be stored in bar-lock cabinets that must be secured at the end of the day.

9 FAM Appendix F, 202.2 Filing Category Two IV/DV Refusal Cases

9 FAM Appendix F, 202.2-1 Category Two IV Refusal Cases

(TL:VISA-397; 04-17-2002)

Category Two IV refusals must be retained through the case termination process. After case termination, the file, including petitions, may be shredded at post or destroyed in the same manner as other controlled and/or classified items.

9 FAM Appendix F, 202.2-2 Category Two DV Refusal Cases

(TL:VISA-397; 04-17-2002)

a. Category Two DV refusals should be destroyed at post after one year, in the same manner as other controlled and/or classified items.

b. At the end of the DV year on September 30, all registered DV files that were not processed due to lack of DV numbers or non-response by applicants may be destroyed in the same manner as other controlled and/or classified items.